



## CHECKLIST OF REPORTING CORPORATE STRUCTURE

### INFORMATION ON REPORTING CORPORATE STRUCTURE

## ALLOW 10 – 21 DAYS FOR PROCESSING

1. The application shall set out the owner information as required by [RSMo 311.060](#) and [11 CSR 70-2.020](#).
  - a. If the corporate structure includes more than three (3) layers of ownership, a corporate structure diagram is required.
  - b. If there are trusts included in the corporate structure;
    - i. See [11 CSR 70-2.020\(20\)](#) for guidance. Additionally, the following must be supplied at the time of application:
      - Completed mandatory attestation form (available on our website Licensing/Other Licensing Forms);
      - Certification of trust
  - c. Stock ownership not deemed financial interest (see [RSMo. 311.061](#))
    - i. Service as a member of the board of directors of a corporation, the stock of which is traded on the New York or American Stock Exchange or NASDAQ, or ownership of less than 10% of the outstanding shares in such corporation, shall not constitute a financial interest in such corporation or a subsidiary thereof.

Additional Information for Reporting “Corporate Structure” for Partnership:

[MO 829-A0158 Reporting “Corporate Structure” for Partnership](#)

Additional Information for Reporting “Corporate Structure” for Entities (LLC, LLLP, Corporation):

[MO 829-A0159 Reporting “Corporate Structure” for Entities \(LLC, LLLP, Corporation\)](#)